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## **OFFICIAL COMMUNICATION**

**FAX NO.: (703) 872-9306**

Date: November 12, 2004

Sender: Mary Deaunclaire, Patent Secretary to Elise R. Heilbrunn

Application No. 09/465,994

Our Ref. No.: SUN1P507/P4152

Re: Foreign references cited separately

Pages Including Cover Sheet(s):

54 Resending Nov. 12

**MESSAGE:**

Attached are:

- 1) copy of Office Communication from Examiner Thomas Pham,  
Art Unit 2121, dated 10/29/04
- 2) New Information Disclosure Statement Transmittal with Certificate of Transmission
- 3) New Form 1449
- 4) copies of 3 (three) cited references
  - a) Second Office Action issued July 9, 2004 for Chinese Patent Application  
No. 00810268.6, filed July 13, 2000
  - b) EP0524071A1
  - c) EP0908821A1

for the above-referenced application.

Please call me directly at (510) 495-3224 if you have any questions.

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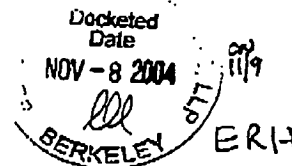
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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 09/465,994   | 12/16/1999  | TAO YE               | SUN1P507            | 9636             |
| 23434  | 7590        | 11/03/2004           | EXAMINER            |                  |
| BEYER WEAVER & THOMAS LLP<br>P.O. BOX 778<br>BERKELEY, CA 94704-0778 |             |                      | PHAM, THOMAS K      |                  |
|  |             |                      | ART UNIT            | PAPER NUMBER     |
|  |             |                      | 1121                |                  |

DATE MAILED: 11/03/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)





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| APPLICATION NO./<br>CONTROL NO. | FILING DATE | FIRST NAMED INVENTOR /<br>PATENT IN REEXAMINATION | ATTORNEY DOCKET NO. |
| 09/465,994                      | 12/16/1999  | YE, et al.  | SUNIP507/P4152      |

|             |          |
|-------------|----------|
| EXAMINER    |          |
| THOMAS PHAM |          |
| ART UNIT    | PAPER    |
| 2121        | 20041028 |

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Commissioner for Patents

Attached are the PTO-1449s submitted on November 10, 2003 and September 20, 2004.

Applicants need to list the two foreign references cited by the Chinese Patent Office separately in order to obtain consideration.

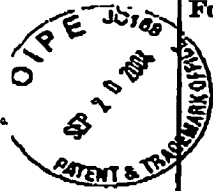
The certification under 37CFR. 1.97(e) must also be made with respect to the references. *ae. 10/29/04*

  
Anthony Knight  
Supervisory Patent Examiner  
Group 3600

Thomas K. Pham

*TP*  
Patent Examiner

PTO-90C (Rev.04-03)



|  |  |
|--|--|
| <b>Form 1449 (Modified)</b><br><br><b>Information Disclosure Statement By Applicant</b><br><br>(Use Several Sheets if Necessary) | <b>Atty Docket No.</b> SUN1P507/P4152<br><br><b>Applicant:</b> Ye, et al.<br><br><b>Filing Date</b> 12-16-1999 <b>Group</b> 2121<br><br><b>Application No.:</b> 09/465,994 |
|--|--|

## U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
|------------------|-----|------------|------|----------|-------|-----------|-------------|
|                  | A   |            |      |          |       |           |             |
|                  | B   |            |      |          |       |           |             |

## Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
|                  |     |              |                  |                          |       |           | Yes         | No |
|                  | C   |              |                  |                          |       |           |             |    |
|                  | D   |              |                  |                          |       |           |             |    |

## Other Documents

| Examiner Initial       | No. | Author, Title, Date, Place (e.g. Journal) of Publication   |
|------------------------|-----|--|
|                        | E   | Second Office Action issued July 9, 2004, citing previously-cited documents: EP0524071A1 and EP0908821A1; Chinese Patent Application No. 00810268.6, filed July 13, 2000, <i>Methods and Apparatus For Managing An Application According To an Application Lifecycle</i> . |
|                        | F   |  |
| Examiner <i>Thyram</i> |     | Date Considered <i>10/28/04</i>  |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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